



LinkedIn: <https://www.linkedin.com/in/peteroakes/>

Email: Office@CompliReg.com

Peter Oakes: Peter is a lawyer (admitted in UK, Ireland & Australia) and financial services expert working in banking, payments, insurance, investments, funds, MiFID, Fintech, Regtech & Distributed Ledger Technology industries. He is a non-executive director of TransferMate (payments) & Susquehanna International (options market maker) and others, board advisor to several Fintech & Regtech firms and strategic consultant to Irish operations of US law firm Clark Hill.



Peter was appointed as the first Director of Enforcement & Financial Crime at the Irish Central Bank (2010-2013) following the financial crisis. He was a leading team member, as board director and Chief Risk Officer, in the establishment of Bank of America Merchant Services' operations in London and held senior legal and compliance position with Baring Asset Management, Delaware Investments (now Macquarie Bank) and Bisys Fund Services (now Citi). He is retained by regulated financial institutions in Ireland and the UK to provide board room level strategic, regulatory and business counsel. Peter has established numerous businesses including one of Ireland's first independent compliance and risk consulting business and is a former board director of a successful Irish funds management company.

Peter has also served as a senior regulator in the UK (FSA), Australia (ASIC) & Saudi Arabia (SAMA). He continues to engage with regulators to discuss their innovation, supervisory and enforcement strategies.

Peter works with fintech firms seeking licences in Europe and mentors start-ups. He founded [Fintech Ireland](#), [Fintech UK](#) and [Brexit Ireland](#).

Peter is a regular speaker and contributor to conferences and chairs events for QUB Events and has interviewed and moderated discussions with European government ministers, central bankers, financial regulators and CEOs on a broad range of topics including Brexit, central banking, financial services & GDPR.

www.CompliReg.com / office@complireg.com / hello@peteroakes.com